

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Applicati n Number

Unassigned

Filing Date

First Named Invent r

Jennifer SU Saak

Art Unit

Unassigned

Examiner Name

Unassigned

Sheet

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













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



Attorney Docket Number

126118

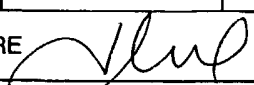
U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 2002/0025454	02/28/2002	Wang et al.	
	2	US- 2003/0003328	01/02/2003	Spitsburg et al.	
	3	US- 2002/0136835	09/26/2002	Li et al.	
	4	US- 5,391,404	02/21/1995	Lee et al.	
	5	US- 5,496,644	03/05/1996	Lee et al.	
	6	US- 5,869,146	02/09/1999	McCluskey et al.	
	7	US- 5,985,470	11/16/1999	Spitsburg et al.	
	8	US- 6,129,954	10/10/2000	Spitsburg et al.	
	9	US- 6,254,935	07/03/2001	Eaton et al.	
	10	US- 6,284,325	09/04/2001	Eaton, Jr. et al.	
	11	US- 6,296,909	10/02/2001	Spitsburg et al.	
	12	US- 6,296,941	10/2/2001	Eaton, Jr. et al.	
	13	US- 6,296,942	10/2/2001	Eaton, Jr. et al.	
	14	US- 6,299,988	10/09/2001	Wang et al.	

FOREIGN PATENT DOCUMENTS

*Examiner Initials	Cite No.	FOREIGN PATENT DOCUMENT		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	TRANSLATION	
		Country Code:	Number - Kind Code (if known)				YES	NO
	1	WO	99/58472	11/18/1999	Wang et al.		<input type="checkbox"/>	<input type="checkbox"/>
	2	WO	00/64836	11/02/2000	Wang et al.		<input type="checkbox"/>	<input type="checkbox"/>
	3	EP	0 993 423	04/19/2000	Wang		<input type="checkbox"/>	<input type="checkbox"/>
	4	EP	0 993 424	04/19/2000	Wang et al.		<input type="checkbox"/>	<input type="checkbox"/>
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EXAMINER SIGNATURE



DATE CONSIDERED

9/20/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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STATEMENT BY APPLICANT**

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Application Number

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Filing Date

First Named Inventor

Jennifer SU Saa

Art Unit

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Examiner Name

Unassigned

Sheet

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of

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Attorney Docket Number

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*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
jm	15	US- 6,312,763	11/06/2001	Eaton, Jr. et al.	
jm	16	US- 6,352,790	03/05/2002	Eaton et al.	
jm	17	US- 6,365,288	04/02/2002	Eaton et al.	
jm	18	US- 6,387,456	05/14/2002	Eaton, Jr. et al.	
jm	19	US- 6,410,148	06/25/2002	Eaton, Jr. et al.	
jm	20	US- 6,444,335	09/03/2002	Wang et al.	
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FORM PTO-1449
(REV. 7-80)
(Title Amended 3/83)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

126118

SERIAL NO.

10/678,813

INFORMATION DISCLOSURE STATEMENT BY APPLICANT--

LIST OF ITEMS

(Use several sheets if necessary)

Applicant

Jennifer S. Saak et al.

Filing Date

10/06/03

Group



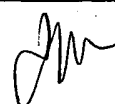
U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA					
	AB					
	AC					
	AD					
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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	No
	AL						
	AM						
	AN						
	AO						
	AP						

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

	AR	United States Patent Application Publication US2003/0113552 A1 - Silicon Based Substrate With Environmental/Thermal Barrier Layer - Ellen Y Sun et al. - June 19, 2003
	AS	United States Patent Application Publication US2003/0113553 A1 - Silicon Based Substrate With a CTE Compatible Layer on the Substrate - Ellen Y. Sun et al. - June 19, 2003
	AT	United States Patent Application Publication US2003/0113559 A1 - Barrier Layer For Silicon Containing Substrate - Harry E. Eaton et al. - June 19, 2003

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